



AFRL-OSR-VA-TR-2012-1042

Mapping local shape dependent electromagnetic field enhancements
in single
metallic nanoparticles using stochastic optical reconstruction
microscopy (STORM)

Katherine A. Willets
University of Texas at Austin
101 E 27th Ste 4308
Austin TX 78712-1500

03-08/2012

Final Report

<p>DISTRIBUTION A: Distribution approved for public release.</p>

REPORT DOCUMENTATION PAGE					Form Approved OMB No. 0704-0188	
<p>The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Service Directorate (0704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.</p> <p>PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ORGANIZATION.</p>						
1. REPORT DATE (DD-MM-YYYY) 03-08/2012		2. REPORT TYPE final		3. DATES COVERED (From - To) May 2009-Nov 2011		
4. TITLE AND SUBTITLE 1. Mapping local shape dependent electromagnetic field enhancements in single metallic nanoparticles using stochastic optical reconstruction microscopy (STORM)				5a. CONTRACT NUMBER FA-9550-09-1-0112		
				5b. GRANT NUMBER		
				5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S) Katherine A. Willets				5d. PROJECT NUMBER		
				5e. TASK NUMBER		
				5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of Texas at Austin 101 E 27th Ste 4308 Austin TX 78712-1500				8. PERFORMING ORGANIZATION REPORT NUMBER 26020311		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) AFOSR 875 N. Randolph St. Room 3112 Arlington, VA 22203				10. SPONSOR/MONITOR'S ACRONYM(S)		
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATEMENT Distribution A: Approved for Public Release						
13. SUPPLEMENTARY NOTES						
14. ABSTRACT The goal of this project was to use novel approaches in super-resolution optical imaging to probe local electromagnetic field enhancements in plasmonic metal nanoparticles. To that end, we have made several important contributions to this goal through the support provided by AFOSR. First, we were the first group to use super-resolution optical imaging to map out the local electromagnetic field intensity of a single-molecule surface enhanced Raman scattering (SM-SERS) hot spot with <1 nm resolution. Second, we were the first to provide a correlation between the size and shape of SM-SERS hot spots and the structure of the underlying nanoparticle. Third, we demonstrated a unique, rapid, all-optical readout for the output polarization of SM-SERS nanoparticles and showed that SERS-active nanoparticle dimers could be discriminated from higher order aggregates without the need for separate structure characterization tools. These accomplishments represent significant forward progress in our understanding of SM-SERS hot spots, which are well-known to be the sites with the strongest electromagnetic enhancement, yet remain quite difficult to fabricate in a rational manner.						
15. SUBJECT TERMS plasmon, SERS, hot spot, nanoparticles						
16. SECURITY CLASSIFICATION OF: a. REPORT U			17. LIMITATION OF ABSTRACT UU		18. NUMBER OF PAGES	
b. ABSTRACT U			c. THIS PAGE U		19a. NAME OF RESPONSIBLE PERSON Katherine A. Willets	
						19b. TELEPHONE NUMBER (Include area code) 512-471-6488

INSTRUCTIONS FOR COMPLETING SF 298

1. REPORT DATE. Full publication date, including day, month, if available. Must cite at least the year and be Year 2000 compliant, e.g. 30-06-1998; xx-06-1998; xx-xx-1998.

2. REPORT TYPE. State the type of report, such as final, technical, interim, memorandum, master's thesis, progress, quarterly, research, special, group study, etc.

3. DATES COVERED. Indicate the time during which the work was performed and the report was written, e.g., Jun 1997 - Jun 1998; 1-10 Jun 1996; May - Nov 1998; Nov 1998.

4. TITLE. Enter title and subtitle with volume number and part number, if applicable. On classified documents, enter the title classification in parentheses.

5a. CONTRACT NUMBER. Enter all contract numbers as they appear in the report, e.g. F33615-86-C-5169.

5b. GRANT NUMBER. Enter all grant numbers as they appear in the report, e.g. AFOSR-82-1234.

5c. PROGRAM ELEMENT NUMBER. Enter all program element numbers as they appear in the report, e.g. 61101A.

5d. PROJECT NUMBER. Enter all project numbers as they appear in the report, e.g. 1F665702D1257; ILIR.

5e. TASK NUMBER. Enter all task numbers as they appear in the report, e.g. 05; RF0330201; T4112.

5f. WORK UNIT NUMBER. Enter all work unit numbers as they appear in the report, e.g. 001; AFAPL30480105.

6. AUTHOR(S). Enter name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. The form of entry is the last name, first name, middle initial, and additional qualifiers separated by commas, e.g. Smith, Richard, J, Jr.

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES). Self-explanatory.

8. PERFORMING ORGANIZATION REPORT NUMBER. Enter all unique alphanumeric report numbers assigned by the performing organization, e.g. BRL-1234; AFWL-TR-85-4017-Vol-21-PT-2.

9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES). Enter the name and address of the organization(s) financially responsible for and monitoring the work.

10. SPONSOR/MONITOR'S ACRONYM(S). Enter, if available, e.g. BRL, ARDEC, NADC.

11. SPONSOR/MONITOR'S REPORT NUMBER(S). Enter report number as assigned by the sponsoring/monitoring agency, if available, e.g. BRL-TR-829; -215.

12. DISTRIBUTION/AVAILABILITY STATEMENT. Use agency-mandated availability statements to indicate the public availability or distribution limitations of the report. If additional limitations/ restrictions or special markings are indicated, follow agency authorization procedures, e.g. RD/FRD, PROPIN, ITAR, etc. Include copyright information.

13. SUPPLEMENTARY NOTES. Enter information not included elsewhere such as: prepared in cooperation with; translation of; report supersedes; old edition number, etc.

14. ABSTRACT. A brief (approximately 200 words) factual summary of the most significant information.

15. SUBJECT TERMS. Key words or phrases identifying major concepts in the report.

16. SECURITY CLASSIFICATION. Enter security classification in accordance with security classification regulations, e.g. U, C, S, etc. If this form contains classified information, stamp classification level on the top and bottom of this page.

17. LIMITATION OF ABSTRACT. This block must be completed to assign a distribution limitation to the abstract. Enter UU (Unclassified Unlimited) or SAR (Same as Report). An entry in this block is necessary if the abstract is to be limited.

Final report: Mapping local shape dependent electromagnetic field enhancements in single metallic nanoparticles using stochastic optical reconstruction microscopy (STORM)

Program: Molecular Dynamics

Program Manager: Dr. Michael R. Berman

PI: Katherine A. Willets, Department of Chemistry and Biochemistry, University of Texas at Austin

Project summary: The goal of this project was to use novel approaches in super-resolution optical imaging to probe local electromagnetic field enhancements in plasmonic metal nanoparticles. To that end, we have made several important contributions to this goal through the support provided by AFOSR. First, we were the first group to use super-resolution optical imaging to map out the local electromagnetic field intensity of a single-molecule surface enhanced Raman scattering (SM-SERS) hot spot with <1 nm resolution. Second, we were the first to provide a correlation between the size and shape of SM-SERS hot spots and the structure of the underlying nanoparticle. Third, we demonstrated a unique, rapid, all-optical readout for the output polarization of SM-SERS nanoparticles and showed that SERS-active nanoparticle dimers could be discriminated from higher order aggregates without the need for separate structure characterization tools. These accomplishments represent significant forward progress in our understanding of SM-SERS hot spots, which are well-known to be the sites with the strongest electromagnetic enhancement, yet remain quite difficult to fabricate in a rational manner.

Accomplishment 1: Super-resolution optical imaging to map out the local electromagnetic field intensity of a single-molecule surface enhanced Raman scattering (SM-SERS) hot spot with <1 nm resolution

1) S.M. Stranahan and K.A. Willets. "Super-resolution Optical Imaging of Single-Molecule SERS Hot Spots," *Nano Letters* 10, 3777-3784 (2010). (Featured in C&E News, August 30, 2010)

2) K.A. Willets, S.M. Stranahan, M.L. Weber. "Shedding light on surface-enhanced Raman scattering hot spots through single molecule super-resolution imaging." *J. Phys. Chem. Lett.* 3, 1286-1294 (2012). Journal cover art.

We demonstrated the ability to measure the spatial origin of SM-SERS signals by using point spread function fitting. Briefly, the diffraction limited spot of a single molecule emitter was fit to a 2-D Gaussian function, and the emission centroid was recorded. Next the average intensity as a function of centroid position was plotted for a time series of data to create a map of the SM-SERS hot spot. Figure 1 shows examples of a typical SM-SERS hot mapped using this method. Several important conclusions emerge from these data: (1) the SERS

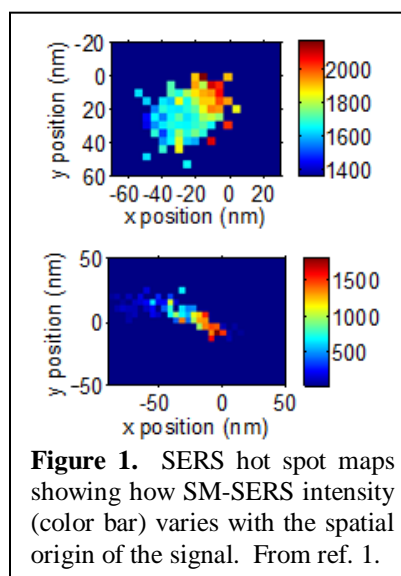


Figure 1. SERS hot spot maps showing how SM-SERS intensity (color bar) varies with the spatial origin of the signal. From ref. 1.

intensity changes in a directional, gradient fashion as the SERS centroid shifts away from the “hottest” spot and (2) the SERS hot spot extends over a region much larger than the size of a single molecule. These data represent the first images of a SM-SERS active hot spot, as reported by a single molecule emitter within that hot spot.

Accomplishment 2: Correlating the size and shape of SM-SERS hot spots with the structure of the underlying nanoparticle

3) M.L. Weber, K.A. Willets. “Correlated super-resolution optical and structural studies of surface-enhanced Raman scattering hot spots in silver colloid aggregates.” *J. Phys. Chem. Lett.* 2, 1766-1770 (2011). (Featured in *ACS Noteworthy Chemistry*, August 1, 2011)

4) M.L. Weber, J.P. Litz, D.J. Masiello, K.A. Willets. “Super-resolution imaging reveals a difference between SERS and luminescence centroids.” *ACS Nano.* 6, 1839-1848 (2012). (Highlighted in “In Nano,” *ACS Nano.* 6, 990-992 (2012)).

To understand how the SERS spatial intensity maps are related to nanoparticle structure, we performed correlated optical and electron microscopy.^{3,4} Figure 2, A and B, shows two examples in which the SERS hot spot maps determined from super-resolution imaging are overlaid on the corresponding SERS-active nanoparticle structure.³ As above, we observe a region of high intensity, accompanied by a directional and gradient decay in the SERS intensity. In both examples, the orientation of the high intensity edge matches the alignment of a junction within the nanostructure, and the gradient decay in the SERS intensity agrees with expectations that the EM field enhancement decreases further from the junction. Thus, we find excellent qualitative agreement between the shape of the spatial intensity maps and the expected local EM enhancement of the nanoparticles.

We also exploited the inherent luminescence signal from silver nanoparticles to substantiate our assignment of the “hot” junction.⁴ Figure 2C shows a SERS-active trimer with its corresponding SERS hot spot map overlaid on the rightmost junction. A white “x” marks the position of the nanoparticle luminescence relative to the SERS signal. Discrete dipole approximation calculations of the predicted luminescence and SERS centroids are shown in Figure 2D (in collaboration with David Masiello at the University of Washington) and show excellent agreement with our experimental assignments.⁴ These data demonstrate that the shape and intensity distribution of the hot spot track with the local distribution of plasmonic enhancement on the nanoparticle aggregate.

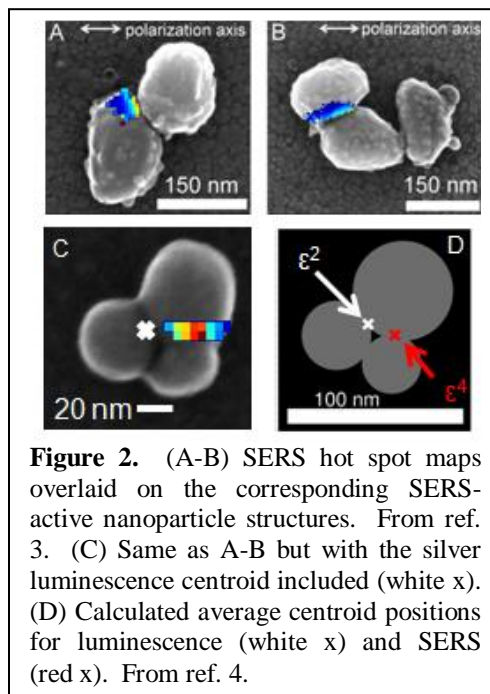


Figure 2. (A-B) SERS hot spot maps overlaid on the corresponding SERS-active nanoparticle structures. From ref. 3. (C) Same as A-B but with the silver luminescence centroid included (white x). (D) Calculated average centroid positions for luminescence (white x) and SERS (red x). From ref. 4.

Accomplishment 3: a unique, rapid, all-optical readout for the output polarization of SM-SERS nanoparticles

4) S.M. Stranahan, E.J. Titus, K.A. Willets. “SERS orientational imaging of silver nanoparticle dimers.” *J. Phys. Chem. Lett.* 2, 2711–2715 (2011).

5) S.M. Stranahan, E.J. Titus, K.A. Willets. “Discriminating nanoparticle dimers from higher order aggregates through wavelength-dependent SERS orientational imaging.” *ACS Nano.* 6, 1806-1813 (2012).

Because junctions between adjacent nanoparticles are so critical for optimal SERS enhancement, a number of researchers have devised methods for self-assembly of dimers and higher-order aggregates. However, to confirm the resulting aggregation state, structural characterization methods like electron microscopy or atomic force microscopy (AFM) are required, which are time consuming and potentially perturbative. We have developed a simple, rapid (<2 seconds), all-optical method for determining the orientation and aggregation state of nanoparticles, by imaging the SERS signal from adsorbed tags.^{4,5}

It is well-known that a single emitting dipole will have a characteristic emission pattern in the far-field, which can be imaged by defocusing the signal onto a two-dimensional detector. We employed a similar approach for studying SERS-active nanoparticle dimers and found that the resulting image reflected the orientation of the underlying dimer, as shown in Figure 3, A-C.⁴ By modeling the dimer as a dipole based on its geometry, we found excellent agreement between predicted emission patterns and the three-dimensional dimer orientation.

We also showed that using two different excitation wavelengths allowed us to discriminate dimers from higher order aggregates.⁵ In the case of a nanoparticle dimer, the emission patterns are wavelength independent (Figure 3, A-C), while in trimers (and higher order aggregates), the pattern strongly depends on excitation wavelength (Figure 3, D-I). This approach allows for rapid identification of aggregated nanostructures in complex and dynamic environments where AFM and electron microscopy may be less useful.

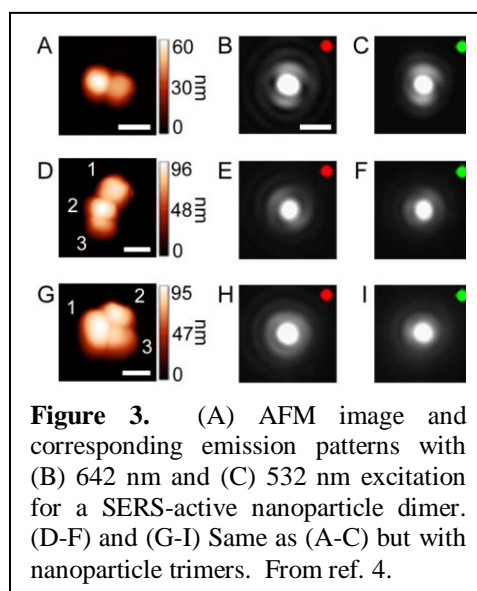


Figure 3. (A) AFM image and corresponding emission patterns with (B) 642 nm and (C) 532 nm excitation for a SERS-active nanoparticle dimer. (D-F) and (G-I) Same as (A-C) but with nanoparticle trimers. From ref. 4.

Additional work citing AFOSR support:

K.A. Willets. “Probing local electromagnetic field enhancements on the surface of plasmonic nanoparticles.” *Prog. Surf. Sci.* 2012, accepted.

K.A. Koen, M.L. Weber, K.M. Mayer, E. Fernandez, K.A. Willets. “Spectrally-resolved polarization anisotropy of single plasmonic nanoparticles excited by total internal reflection.” *J. Phys. Chem. C.* 116, 16198–16206 (2012).

AFOSR Deliverables Submission Survey

Response ID: 1944 Data

1.

1. Report Type

Final Report

2. Primary Contact E-mail

Contact email if there is a problem with the report.

kwillets@mail.utexas.edu

3. Primary Contact Phone Number

Contact phone number if there is a problem with the report

512-471-6488

4. Organization / Institution name

University of Texas at Austin

5. Grant/Contract Title

The full title of the funded effort.

Mapping local shape dependent electromagnetic field enhancements in single metallic nanoparticles using stochastic optical reconstruction microscopy (STORM)

6. Grant/Contract Number

AFOSR assigned control number. It must begin with "FA9550" or "F49620".

FA9550-09-1-0112

7. Principal Investigator Name

The full name of the principal investigator on the grant or contract.

Katherine A. Willets

8. Program Manager

The AFOSR Program Manager currently assigned to the award

Michael Berman

9. Reporting Period Start Date

05/01/2009

10. Reporting Period End Date

11/30/2011

11. Abstract

The goal of this project was to use novel approaches in super-resolution optical imaging to probe local electromagnetic field enhancements in plasmonic metal nanoparticles. To that end, we have made several important contributions to this goal through the support provided by AFOSR. First, we were the first group to use super-resolution optical imaging to map out the local electromagnetic field intensity of a single-molecule surface enhanced Raman scattering (SM-SERS) hot spot with <1 nm resolution. Second, we were the first to provide a correlation between the size and shape of SM-SERS hot spots and the structure of the underlying nanoparticle. Third, we demonstrated a unique, rapid, all-optical readout for the output polarization of SM-SERS nanoparticles and showed that SERS-active nanoparticle dimers could be discriminated from higher order aggregates without the need for separate structure characterization tools. These accomplishments represent significant forward progress in our understanding of SM-SERS hot spots, which are well-known to be the sites with the strongest electromagnetic enhancement, yet remain quite difficult to fabricate in a rational manner.

12. Distribution Statement

DISTRIBUTION A: Distribution approved for public release.

This is block 12 on the SF298 form.

Distribution A - Approved for Public Release

13. Explanation for Distribution Statement

If this is not approved for public release, please provide a short explanation. E.g., contains proprietary information.

14. SF298 Form

Please attach your SF298 form. A blank SF298 can be found [here](#). Please do not spend extra effort to password protect or secure the PDF, we want to read your SF298. The maximum file size for SF298's is 50MB.

[SF298_Willets.pdf](#)

Upload the Report Document. The maximum file size for the Report Document is 50MB.

[Willets AFOSR Final report.pdf](#)

16. Archival Publications (published) during reporting period:

S. M. Stranahan, K.A. Willets. "Super-resolution optical imaging of single-molecule SERS hot spots," Nano Lett. 10, 3777-3784 (2010). **Featured in C&E News, August 30, 2010. M.L. Weber, K.A. Willets. "Correlated super-resolution optical and structural studies of surface-enhanced Raman scattering hot spots in silver colloid aggregates." J. Phys. Chem. Lett. 2, 1766-1770 (2011). **Featured in ACS Noteworthy Chemistry, August 1, 2011. S.M. Stranahan, E.J. Titus, K.A. Willets. "SERS orientational imaging of silver nanoparticle dimers." J. Phys. Chem. Lett. 2, 2711-2715 (2011). M.L. Weber, J.P. Litz, D.J. Masiello, K.A. Willets. "Super-resolution imaging reveals a difference between SERS and luminescence centroids." ACS Nano. 6, 1839-1848 (2012). **Highlighted in "In Nano," ACS Nano. 6, 990-992 (2012). S.M. Stranahan, E.J. Titus, K.A. Willets. "Discriminating nanoparticle dimers from higher order aggregates through wavelength-dependent SERS orientational imaging." ACS Nano. 6, 1806-1813 (2012). K.A. Koen, M.L. Weber, K.M. Mayer, E. Fernandez, K.A. Willets. "Spectrally-resolved polarization anisotropy of single plasmonic nanoparticles excited by total internal reflection." J. Phys. Chem. C. 116, 16198-16206 (2012).

17. Changes in research objectives (if any):

18. Change in AFOSR Program Manager, if any:

19. Extensions granted or milestones slipped, if any:

Response Location

Region:	United States
Region:	TX
City:	Austin
Postal Code:	78705
Long & Lat:	Lat: 30.296101, Long:-97.7369